## **INTRODUCTION**

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**BACKGROUND** 

The Intermodal Surface Transportation Efficiency Act (ISTEA) of 1991, signed by President

Bush in December of 1991, provides funding authorizations for highways, highway safety, and

mass transportation for fiscal years 1992-1997. Title VI-Research, Part B-Intelligent Vehicle-

Highway Systems Act of ISTEA provides for planning grants to state and local governments to

study the feasibility of intelligent vehicle-highway systems (IVHS) development and

implementation<sup>1</sup>. Since this time, IVHS has evolved to what is now called Intelligent

Transportation Systems (ITS).

In April of 1993 an initiative titled, Proposal for a Planning Study of an Area-Wide Intelligent

Vehicle Highway System for the Austin Metropolitan Area and an Early Deployment Plan for the

IH-35 Corridor, was accepted for funding by the Federal Highway Administration (FHWA)

under the United States Department of Transportation (USDOT). This proposal was submitted

jointly by the Texas Department of Transportation (TxDOT) Austin District and the City of

Austin Department of Public Works and Transportation (DPWT).

Metropolitan Area

The Austin District is one of the twenty-five regional TxDOT offices encompassing a ten county

area in central Texas. The Austin Transportation Study (ATS) is the local metropolitan planning

organization (MPO). The ATS metropolitan area includes parts of three counties and nineteen

local jurisdictions with a total population of 687,000 (1990 census). For the purpose of this study,

the ATS boundary was selected as the limits of the study area to be considered. Austin, the

largest city in the ATS boundary, is near the center of this metropolitan boundary, as shown in

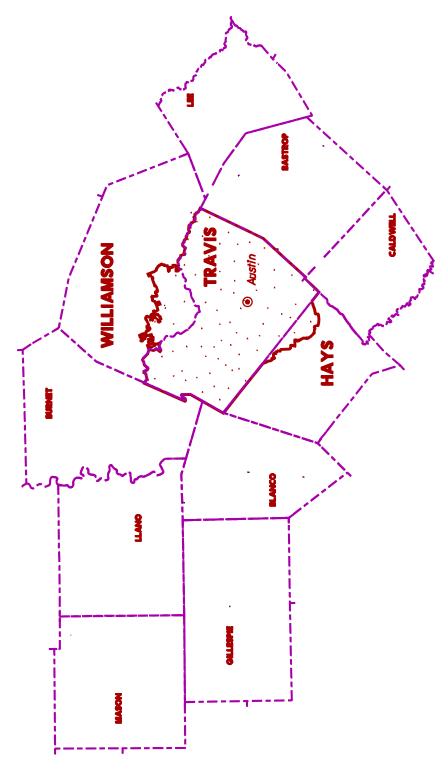
i-1

Figure i-1.

Texas Department of Transportation Austin District

Department of Public Works and Transportation

City of Austin



Austin Metropolitan Boundary\_Figure i- 1

December 19,1996 **Austin ITS** 

**Austin Area** 

Austin, the capital of Texas, is divided along its north-south axis by Interstate Highway 35 (IH

35). As illustrated in **Figure i-2**, the southern tip IH 35 is at the U.S.-Mexico border, in Laredo,

Texas, and the northern tip terminates in Duluth, Minnesota. The Colorado River also dissects

Austin along an east-west axis.

Austin is home to numerous state office complexes and high-tech industries, as well as, the

University of Texas (UT). Additional traffic generators in the Austin area are illustrated in

Figure i-3 and include the UT Memorial Football Stadium, UT Frank Erwin Special Events

Center, and the City of Austin Convention Center.

Austin, as well as most urban areas of Texas, has witnessed increased auto use and ownership

that have exceeded the capacity of the transportation network. This increase has resulted in more

urban traffic congestion, excessive air pollution and fuel consumption, higher accident rates, and

unacceptable levels of frustration and delay<sup>2</sup>. A population explosion to over one million persons

by 2020 is expected to aggravate Austin's transportation network, further reducing transportation

safety, mobility, air, and water quality<sup>3</sup>.

STUDY OBJECTIVES

The objectives of this study are designed to support the future operational tests and

implementation of ITS user services by various agencies on corridors in the Austin metropolitan

area. Specific objectives for this study are:

Property Develop organizational structure,

Property Develop area wide ITS plan, and

Deployment on IH 35 corridor.

Texas Department of Transportation

City of Austin

Austin District Transportation Operations i-3

Department of Public Works and Transportation Transportation Division



IH 35 Corridor\_Figure i- 2

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Austin Area\_Figure i- 3

December 19,1996 **Austin ITS** 

ITS PLANNING PROCESS

IVHS, or more recently Intelligent Transportation Systems (ITS), uses modern communication,

computer, and electronic technologies to improve the safety and efficiency of the transportation

system. These technologies can be combined to provide several capabilities or "user services".

To date, 29 user services have been bundled together into six broad service areas4. Some user

services are still evolving to overcome technological, as well as, institutional barriers. To aid

metropolitan areas in prioritizing ITS deployment, the USDOT has identified specific user

services comprising a core infrastructure for ITS deployment. The user services in this core

infrastructure are indicated in **Table i-1**. Integration of this core infrastructure, which will

involve multi-agency cooperation, is needed for successful deployment of ITS into the existing

transportation system.

The FHWA developed a guide titled, IVHS Planning and Project Deployment Process, for

distribution in April of 1993. This process, illustrated in **Figure i-4**, was created to serve as a tool

for organizations to systematically plan for, and implement, ITS technologies as a part of an

integrated transportation system<sup>5</sup>. The process presented in this guide was used for this study.

**Local ITS Planning Methodology** 

The study proposal submitted by TxDOT and the City of Austin relates to the planning process

developed by the FHWA through the completion of seven tasks. An outline of the seven tasks

detailed in this study are listed below.

Task I-Austin ITS Organization and Procedures

The Study will contact agencies that may benefit from the implementation of IVHS

technologies or are users of the transportation system in the Austin area in order to

develop Austin IVHS organization and procedures. Steps involved in this task include:

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Assessing the local agencies to define existing transportation problems

Texas Department of Transportation Austin District Transportation Operations

City of Austin

and develop user service objectives.

Review/assessing existing policies/procedures in order to develop a user service plan meeting short, medium, and long term objectives.

- Developing the organizational structure to provide the institutional framework for cooperation among agencies.
- Establishing an organizational diagram showing the relationship of various agencies and a report describing this organization.

#### Task II-Identify/Assess Existing Resources From All Participating Agencies

The Study will identify and assess existing various resources utilized by participating agencies. The Study will concentrate on the City of Austin signal system and the TxDOT freeway traffic management systems deployed in Austin. This task will develop:

- Facilities summary,
- Equipment summary,
- Maintenance summary,
- Personnel and funding summary, and
- Report recommending functional requirements to support user services.

#### Task III-Evaluate State of the Art Traffic Management Techniques and ITS Technologies

The Study will evaluate techniques and technologies which offer the most promising chance of performing system functions. Functional areas identified in this task will be used to:

- Screen and evaluate techniques and technologies,
- Recommend training for computer modeling needed to measure the performance and reliability of the techniques used, and
- Prepare a report identifying standards, system components, and impacts.

#### Task IV-Identify/Assess Corridors

The Study will identify and assess corridors to further identify problems. This task will:

- Identify and evaluate roadways by corridor, and
- Property Develop maps and a report identifying priority corridors.

#### Task V-Develop Austin ITS Plan Document

The Study will develop a document which outlines the steps necessary to deploy ITS in the Austin area. The deliverables of this task include:

- Strategic deployment plan incorporating the user service plan and
- Plan document suitable for distribution to users.

#### Task VI-Austin ITS Plan Assessment/Evaluation Criteria

The Study will establish evaluation criteria needed to adequately assess the success of ITS deployment in the Austin area. This task will result in:

- An implementation assessment methodology including performance criteria involving both quantitative and qualitative measurements and
- A report identifying performance criteria and data gathering procedures.

# Task VII-Prepare IH 35 Action Plan, Plans, Specifications, and Estimates (PS&E) for the Initial Deployment Project

The completion of this task will provide an IH 35 action plan to include:

- Operations and maintenance,
- Initial project plans, specifications, and estimate (PS&E),
- An on-going process continuing for the life of the system, and
- A contracting date for the initial project included an the State Transportation Improvement Plan (STIP).

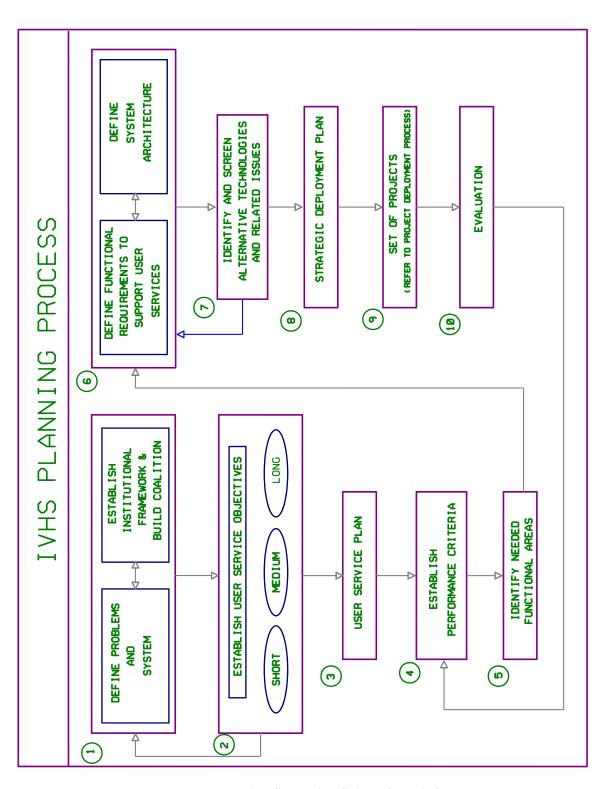
In June of 1993, an agreement was executed between the FHWA and TxDOT to complete this

study. The Austin District Transportation Operations was responsible for completing the work for TxDOT. The Austin District, in cooperation with the City of Austin, decided to complete the bulk of the work with existing staff. An agreement was executed in March of 1994 between TxDOT and the City of Austin initializing this cooperative effort. The City of Austin Department of Public Works and Transportation, Transportation Division, provided the staff for this project.

ITS User Services			
Travel and Transportation  Management	Commercial Vehicle Operations		
å En-Route Driver Information 💥	8 Commercial Vehicle Electronic Clearance		
<sup>8</sup> Route Guidance	8 Automated Roadside Safety Inspection		
<sup>8</sup> Traveler Services Information <b>※</b>	កំ On-Board Safety Monitoring		
ំ Traffic Control 💥	8 Commercial Vehicle Administrative Processes		
ំ Incident Management 💥	8 Hazardous Materials Incident Response		
8 Emissions Testing and Mitigation	8 Commercial Fleet Management		
Travel Demand Management	Emergency Management		
है Pre-Trip Travel Information	8 Emergency Notification and Personal Security		
<sup>8</sup> Ride Matching and Reservation	å Emergency Vehicle Management		
8 Demand Management and Operations			
	Advanced Vehicle Control and Safety Systems		
Public Transportation Operations	8 Longitudinal Collision Avoidance		
ំ Public Transportation Management 💥	8 Lateral Collision Avoidance		
å En-Route Transit Information 🔀	Intersection Collision Avoidance		
<sup>8</sup> Personalized Public Transit <b>※</b>	8 Vision Enhancement for Crash Avoidance		
å Public Travel Security 🔀	8 Safety Readiness		
	8 Pre-Crash Restraint Deployment		
Electronic Payment	8 Automated Highway Systems		
	★ Core Infrastructure		

ITS User Services\_Table i-1

December 19,1996



FHWA ITS Planning Guide\_Figure i- 4

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1. Intermodal Surface Transportation Efficiency Act of 1991, A Summary, U.S. Department of Transportation.

- 2. Options, An Update on Long-Range Transportation Options for the Austin Area, Capital Metropolitan Transportation Authority, June 1994.
- 3. Transportation Trends, Austin Metropolitan Area, 1960 to Present, Austin Transportation Study, Draft September 1993.
- 4. IVHS Architecture Development Program, Interim Status Report, IVHS America, April 1994.
- 5. *IVHS Planning and Project Deployment Process*, Federal Highway Administration, Version 1.0 April 1993.

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Transportation Division